
State:	District of Columbia	Filing Company:	Travelers Casualty and Surety Company of America
TOI/Sub-TOI:	05.0 CMP Liability and Non-Liability/05.0003 Commercial Package		
Product Name:	Investment Advisers and Mutual Funds Rate Correction Filing 2014-12-0058-R-A-EFF		
Project Name/Number:	Investment Advisers and Mutual Funds Rate Correction Filing 2014-12-0058-R-A-EFF/2014-12-0058-R-A-EFF		

Filing at a Glance

Company:	Travelers Casualty and Surety Company of America
Product Name:	Investment Advisers and Mutual Funds Rate Correction Filing 2014-12-0058-R-A-EFF
State:	District of Columbia
TOI:	05.0 CMP Liability and Non-Liability
Sub-TOI:	05.0003 Commercial Package
Filing Type:	Rate
Date Submitted:	09/03/2015
SERFF Tr Num:	TRVE-130232365
SERFF Status:	Submitted to State
State Tr Num:	
State Status:	
Co Tr Num:	2014-12-0058-R-A
Effective Date	07/01/2016
Requested (New):	
Effective Date	07/01/2016
Requested (Renewal):	
Author(s):	Socorro Armstrong, Theresa Lavenburg, Timothy Bengston, Sandy J Olson, Linda Sperry, Stacy Mandelker, Julie Stuart
Reviewer(s):	
Disposition Date:	
Disposition Status:	
Effective Date (New):	
Effective Date (Renewal):	

State: District of Columbia **Filing Company:** Travelers Casualty and Surety Company of America

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General Information

Project Name: Investment Advisers and Mutual Funds Rate Correction Filing 2014-12-0058-R-A-EFF
Status of Filing in Domicile:

Project Number: 2014-12-0058-R-A-EFF
Domicile Status Comments:

Reference Organization:
Reference Number:

Reference Title:
Advisory Org. Circular:

Filing Status Changed: 09/03/2015

State Status Changed:
Deemer Date:

Created By: Linda Sperry
Submitted By: Linda Sperry

Corresponding Filing Tracking Number:

Filing Description:
2014-12-0058-R-A-EFF
Investment Advisers & Funds Liability Coverages
Commercial Multiple Peril
Rate Filing Correction

Travelers Casualty and Surety Company of America, 3548-31194, 06-0907370

In compliance with the insurance laws and regulations of your jurisdiction, we respectfully submit the attached filing which consists of our request to change the effective date. This filing was previously approved by your department on August 7, 2015 under our filing number 2014-12-0058-R-A and SERFF Tracking Number TRVE-130151866.

We would like to propose a new implementation date for this filing to be effective July 1, 2016 for all new and renewal business.

Should you have any questions, please feel free to contact me. Thank you for your consideration of this matter.

Company and Contact

Filing Contact Information

Linda Sperry, Regulatory Analyst
One Tower Square
S202B
Hartford, CT 06183

LSperry1@travelers.com
860-277-7096 [Phone]

Filing Company Information

Travelers Casualty and Surety Company of America	CoCode: 31194	State of Domicile: Connecticut
One Tower Square	Group Code: 3548	Company Type:
2S2B	Group Name:	State ID Number:
Hartford, CT 06183	FEIN Number: 06-0907370	
(860) 277-0179 ext. [Phone]		

Filing Fees

Fee Required? No

State: District of Columbia **Filing Company:** Travelers Casualty and Surety Company of America

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Retaliatory? No

Fee Explanation:

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Rate Information

Rate data applies to filing.

Filing Method:

Rate Change Type: Neutral

Overall Percentage of Last Rate Revision: 0.000%

Effective Date of Last Rate Revision:

Filing Method of Last Filing:

Company Rate Information

Company Name:	Overall % Indicated Change:	Overall % Rate Impact:	Written Premium Change for this Program:	Number of Policy Holders Affected for this Program:	Written Premium for this Program:	Maximum % Change (where req'd):	Minimum % Change (where req'd):
Travelers Casualty and Surety Company of America	17.200%	0.000%	\$0	5	\$329,083	0.000%	0.000%

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Rate/Rule Schedule

Item No.	Schedule Item Status	Exhibit Name	Rule # or Page #	Rate Action	Previous State Filing Number	Attachments
1		Investment Advisers and Funds Liability Coverages, Guide(a) Rates	55910 DC Ed. 06-2015, Rate Pages 1 through 4	Replacement	TRVE-129935153	Pages from 55910 DC 201506.pdf



Guide(a) Rates

Travelers Casualty and Surety Company of America

District of Columbia

Filing Number: 2014-12-0058-A

RATE SCHEDULE

Base rates provided for limit of \$1,000,000.

INVESTMENT ADVISERS CHARGE

Assets in Millions \$	Rate per Million of Assets
First 250	\$12,000.00 flat charge
Next 250	\$16.00
Next 500	\$6.00
Next 4,000	\$2.65
Next 5,000	\$1.55
Next 15,000	\$1.17
Next 25,000	\$0.55
Next 1,950,000	\$0.35
Next 2,000,000	\$0.24
Next 6,000,000	\$0.12
Over 10,000,000	\$0.06

Guide(a) Rates

Travelers Casualty and Surety Company of America

District of Columbia

Filing Number: 2014-12-0058-A

RATE SCHEDULE (continued)

MUTUAL FUND CHARGE

Assets in Millions \$	Rate per Million of Assets
First 250	\$12,500.00 flat charge
Next 250	\$16.00
Next 500	\$6.00
Next 4,000	\$2.65
Next 5,000	\$1.55
Next 15,000	\$1.17
Next 25,000	\$0.55
Next 1,950,000	\$0.35
Next 2,000,000	\$0.24
Next 6,000,000	\$0.12
Over 10,000,000	\$0.06

Guide(a) Rates

Travelers Casualty and Surety Company of America

District of Columbia

Filing Number: 2014-12-0058-A

RATE SCHEDULE (continued)

HEDGE FUND CHARGE

Assets in Millions \$	Rate per Million of Assets
First 250	\$13,250.00 flat charge
Next 250	\$18.00
Next 500	\$6.60
Next 4,000	\$2.90
Next 5,000	\$1.70
Next 15,000	\$1.30
Next 25,000	\$0.60
Next 1,950,000	\$0.40
Next 2,000,000	\$0.26
Next 6,000,000	\$0.13
Over 10,000,000	\$0.07

Guide(a) Rates

Travelers Casualty and Surety Company of America

District of Columbia

Filing Number: 2014-12-0058-A

RATE SCHEDULE (continued)

PRIVATE EQUITY CHARGE

Assets in Millions \$	Rate per Million of Assets
First 250	\$19,500.00 flat charge
Next 250	\$26.00
Next 500	\$10.00
Next 4,000	\$4.00
Next 5,000	\$2.40
Next 15,000	\$1.80
Next 25,000	\$0.85
Next 1,950,000	\$0.55
Next 2,000,000	\$0.35
Next 6,000,000	\$0.18
Over 10,000,000	\$0.09

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Supporting Document Schedules

Bypassed - Item:	Consulting Authorization
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Actuarial Certification (P&C)
Bypass Reason:	Please refer to the Filing Description under the General Information tab.
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	District of Columbia and Countrywide Experience for the Last 5 Years (P&C)
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	District of Columbia and Countrywide Loss Ratio Analysis (P&C)
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	